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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/824,288	04/13/2004	Max Shtein	12992/90501

 26646  
 KENYON & KENYON  
 ONE BROADWAY  
 NEW YORK, NY 10004


CONFIRMATION NO. 6049

## FORMALITIES LETTER



\*0000000013068797\*

Date Mailed: 06/25/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

07/26/2004 MAHME1 00000044 110600 10824288

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A copy of this notice **MUST** be returned with the reply.

Fullinwider

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION --  
FILING DATE GRANTED**

Docket Number:  
**12992/90501**

Application Number  
**10/824,288**

Filing Date  
**April 13, 2004**

Examiner  
**Not yet assigned**

Art Unit  
**2811**

Invention Title  
**METHOD OF FABRICATING AN  
OPTOELECTRONIC DEVICE HAVING A BULK  
HETEROJUNCTION**

Inventor(s)  
**SHTEIN et al.**

Address to:  
**Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date:

*7/20/04*

Signature:

*Thomas F. Meagher*  
Thomas F. Meagher (Reg. No. 29,831)

To complete the filing requirements for the above-referenced application under 37 C.F.R. § 1.51, enclosed please find an executed Declaration and Power of Attorney. A copy of the Notice to File Missing Parts of Nonprovisional Application mailed June 25, 2004 is also enclosed.

1. The Commissioner is hereby authorized to charge payment of the 37 C.F.R. § 1.16(e) surcharge fee of **\$130.00** to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**. The Commissioner is also authorized to charge any additional fees or credit any overpayment in connection with this paper to Deposit Account No. 11-0600.
2. A duplicate copy of this sheet is enclosed.

Dated: *7/20/04*

By:

*Thomas F. Meagher*  
Thomas F. Meagher (Reg. No. 29,831)

**KENYON & KENYON**  
One Broadway  
New York, N.Y. 10004  
(212) 425-7200 (telephone)  
(212) 425-5288 (facsimile)



U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Transmittal Letter

Docket Number:  
12992/90501

Application Number  
10/824,288

Filing Date  
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Examiner  
Not yet assigned

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Date: 7/20/04

Reg. No. 29,831

Signature: 

Thomas F. Meagher

1. Please find enclosed the following documents filed in connection with the above-referenced application:

Appointment of Power of Attorney by Assignee of Entire Interest.

2. No fee is believed necessary however, the Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to the deposit account of **Kenyon & Kenyon**, deposit account number 11-0600:

- A. Any additional filing fees required under 37 C.F.R. § 1.16;
- B. Any additional patent application processing fees under 37 C.F.R. § 1.17;
- C. Any additional document supply fees under 37 C.F.R. § 1.19;
- D. Any additional post-patent processing fees under 37 C.F.R. § 1.20; or
- E. Any additional miscellaneous fees under 37 C.F.R. § 1.21.

Dated: 7/20/04

By: 

Thomas F. Meagher (Reg. No. 29,831)

KENYON & KENYON  
One Broadway  
New York, N.Y. 10004  
(212) 425-7200 (telephone)  
(212) 425-5288 (facsimile)



[12992/90501]

**APPOINTMENT OF POWER OF ATTORNEY  
BY ASSIGNEE OF ENTIRE INTEREST**

THE TRUSTEES OF PRINCETON UNIVERSITY, as assignee of the entire right, title, and interest in the application for patent entitled **METHOD OF FABRICATING AN OPTOELECTRONIC DEVICE HAVING A BULK HETEROJUNCTION**, which was filed with the U.S. Patent and Trademark Office on **April 13, 2004**, as U.S. Serial Number **10/824,288**, hereby appoints Thomas F. Meagher (Registration No. 29,831), Stuart J. Sinder (Registration No. 25,377), Patrick J. Birde (Registration No. 29,770), Jeffrey S. Ginsberg (Registration No. 36,148), John McGroarty (Reg. No. 41,186) and Kevin T. Godlewski (Registration No. 47,598), as its attorneys/agents with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please address all communications regarding this application to:

Thomas F. Meagher  
KENYON & KENYON  
One Broadway  
New York, New York 10004

Please direct all telephone calls to Thomas F. Meagher at (212) 425-7200.

**THE TRUSTEES OF PRINCETON UNIVERSITY**  
P.O. Box 36  
Princeton, New Jersey 08544

Dated: 7/7/2004

By: Michelle D. Christy

Name: Michelle D. Christy

Title: Director of Research & Project Administration